

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P8730
(maximum 12 characters)

First Named Inventor Xiaoshu Qian

Title: COMBINED PRE-EQUALIZER AND NYQUIST FILTER

Express Mail Label No. EL617211345US

jc825 U.S. PRO
09/745598
12/21/01

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 10)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 7)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Copy of Postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Seth Z. Kalson

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Seth Z. Kalson Registration No.: 40,670

Signature: Seth Z. Kalson Date: 21 Dec 2000

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 790.00

Complete if Known:

Application No.

Filing Date December 21, 2000

First Named Inventor Xiaoshu Qian

Group Art Unit

Examiner Name

Attorney Docket No. 42390.P8730

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- [] Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|------|---------------------|------|------------------------------------|-----------------|
| Fee | Fee | Fee | Fee | | |
| Code | (\$) | Code | (\$) | | |
| 101 | 710 | 201 | 355 | Utility application filing fee | 710.00 |
| 106 | 320 | 206 | 160 | Design application filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional application filing fee | |

SUBTOTAL (1) \$710.00

2. EXTRA CLAIM FEES

| 2. EXTRA CLAIM FEES | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|-----------------------------|---------------------|---------------------------|----------------------|
| Total Claims <u>18</u> | <u>- 20** = 0</u> | X | = |
| Independent Claims <u>4</u> | <u>- 3** = 1</u> | X | <u>80.00 = 80.00</u> |
| Multiple Dependent | | | |

****Or number previously paid, if greater: For Reissues see below**

| Fee number previously paid, if greater, for Reissued, see below. | | | |
|--|-------------|---------------------|-------------|
| <u>Large Entity</u> | | <u>Small Entity</u> | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |
| 103 | 18 | 203 | 9 |
| 102 | 80 | 202 | 40 |
| 104 | 270 | 204 | 135 |
| 109 | 80 | 209 | 40 |
| 110 | 18 | 210 | 9 |

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

**Reissue independent claims over original patent

**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 80.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|---------------------|------------------------|--|
| Fee Code | Fee (\$) | Fee Code (\$) | |
| 105 | 130 | 205 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | Non-English specification |
| 147 | 2,520 | 147 | For filing a request for ex parte reexamination |
| 112 | 920* | 112 | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | Extension for reply within first month |
| 116 | 390 | 216 | Extension for reply within second month |
| 117 | 890 | 217 | Extension for reply within third month |
| 118 | 1,390 | 218 | Extension for reply within fourth month |
| 128 | 1,890 | 228 | Extension for reply within fifth month |
| 119 | 310 | 219 | Notice of Appeal |
| 120 | 310 | 220 | Filing a brief in support of an appeal |
| 121 | 270 | 221 | Request for oral hearing |
| 138 | 1,510 | 138 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | Petition to revive – unavoidable |
| 141 | 1,240 | 241 | Petition to revive - unintentional |
| 142 | 1,240 | 242 | Utility issue fee (or reissue) |
| 143 | 440 | 243 | Design issue fee |
| 144 | 600 | 244 | Plant issue fee |
| 122 | 130 | 122 | Petitions to the Commissioner |
| 123 | 130 | 123 | Petitions related to provisional applications |
| 126 | 180 | 126 | Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | Recording each patent assignment per property (times number of properties) |
| 146 | 710 | 246 | For filing a submission after final rejection (see 37 CFR 1.129(a)) |
| 149 | 710 | 249 | For each additional invention to be examined (see 37 CFR 1.129(b)) |
| 179 | 710 | 279 | Request for Continued Examination (RCE) |
| 169 | 900 | 169 | Request for expedited examination of a design application |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Seth Z. KalsonSignature: Seth Z. Kalson Date: 21 Dec 2000Reg. Number: 40,670 Telephone Number: _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

JC825 U.S. PRO
09/745598



12/21/00

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL617211345US

Date of Deposit: 12/21/00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)
D. R. Smith

(Signature of person mailing paper or fee)
D. R. Smith

(Date signed)
12/21/00

Serial/Patent No.: ***** Filing/Issue Date: December 21, 2000

Client: Intel Corporation

Title: COMBINED PRE-EQUALIZER AND NYQUIST FILTER

BSTZ File No.: 42390 P8730

Atty/Secty Initials: SZK/dkr

Date Mailed: December 21, 2000

Docket Due Date: *****

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (pgs.) Express Mail No: EL617211345US Check No. 39724
 Appeal Brief (pgs.) (in triplicate) Month(s) Extension of Time Amt: \$790.00
 Application - Utility (10 pgs., with cover and abstract) Motion Decline Statement PTO-140 (pgs.) Check No. _____
 Application - Rule 1.53(b) Continuation (pgs.) Issue Fee Transmittal Amt: _____
 Application - Rule 1.53(b) Divisional (pgs.) Notice of Appeal
 Application - Rule 1.53(b) CIP (pgs.) Petition for Extension of Time
 Application - Rule 1.53(d) CPA Transmittal (pgs.) Petition for _____
 Application - Design (pgs.) Postcard
 Application - PCT (pgs.) Power of Attorney (pgs.)
 Application - Provisional (pgs.) Preliminary Amendment (pgs.)
 Assignment and Cover Sheet Reply Brief (pgs.)
 Certificate of Mailing Express Mail Response to Notice of Missing Parts
 Declaration & POA (5 pgs.) Small Entity Declaration for Indep. Inventor/Small Business
 Disclose Docs & Obj & Copy of Inventor Signed Letter (pgs.) Transmittal letter, in duplicate **Utility**
 Drawing: 7 # of sheets includes 7 figures Fee Transmittal, in duplicate

Other: _____